



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Shigeru Yoneda

Serial No.: 10/612,018 **Group Art Unit:** 2874

Filed: July 3, 2003 **Examiner:** Akm Enayet Ullah

For: ARRAYED WAVEGUIDE GRATING, ARRAYED WAVEGUIDE GRATING
MODULE, ARRAYED WAVEGUIDE GRATING MODULE WAVEGUIDE
COMPENSATION METHOD, OPTICAL COMMUNICATION SYSTEM

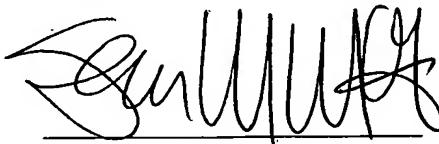
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CONSIDERATION OF
INFORMATION DISCLOSURE STATEMENTS

Sir:

Applicant respectfully requests that the Information Disclosure Statements submitted and filed on July 3, 2003, and September 26, 2003, be officially considered of record. Attached is a copy of the Forms PTO-1449 filed on July 3, 2003, and September 26, 2003, as well as a copy of the date-stamped postcards. Applicant respectfully requests that the Examiner officially acknowledge the disclosed information and promptly return the initialed Form PTO-1449.

Respectfully submitted,



Sean M. McGinn, Esq.
Reg. No. 34,386

Dated: 12/30/03

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FUR.017D1V
SMMI WAS

Attorney's Post Card Filing Receipt
Serial Number: 10 612,018

Papers Filed On: 9 26 03

Attorney's Docket Number: 2003P371434 Patent

Trademark

Applicant's Name: Shigeru Yoneda Application Filing Date: 7 8 03
Papers Filed Herewith:

- Amendment Request for Extension of Time CPA Request
 Notice of Appeal Appeal Brief (in triplicate) Reply Brief
 IDS 1449 Form w/ 0 Documents Priority Document(s)
 Assignment Recordation Cover Sheet Formal Drawings
 Drawing Corrections Issue Fee Transmittal Missing Parts
 Other _____

Fees Filed Herewith: \$ _____ Check Charge Deposit Account: _____

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FUR.017D1V
SMMI WAS

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Serial Number: 10 612,018 Papers Filed On: 9 26 03

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Applicant's Name: Shigeru Yoneda Application Filing Date: 7 8 03
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 Drawing Corrections Issue Fee Transmittal Missing Parts
 Other _____

Fees Filed Herewith: \$ _____ Check Charge Deposit Account: _____

Hand Delivered

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO. 2000P371434 DIV1	SERIAL NO. 10/612,018				
			APPLICANT(S) Shigeru Yoneda					
			FILING DATE July 3, 2003	GROUP Not Yet Assigned				
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS		
FILING DATE IF APPROPRIATE								
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS		
TRANSLATION								
YES NO								
		10-303815	11/13/98	JAPAN			<i>ABS</i>	
		08-251105	09/27/96	JAPAN			<i>ABS</i>	
		11-014858	01/22/99	JAPAN			<i>ABS</i>	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
			Japanese Office Action dated June 30, 2003, with partial English translation.					
			Year 2000 Electronics Information Academic Communication Society Conference B-10-76, p. 299.					
EXAMINER			DATE CONSIDERED					

EXAMINER

DATE CONSIDERED

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

(Use several sheets if necessary)

ATTY DOCKET NO.
2000P371434 DIV

SERIAL NO.
Not Yet Assigned

APPLICANT(S)
Shigeru Yoneda

FILING DATE

GROUP Not Yet Assigned

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	9-49936	02/18/97	JAPAN			ABS	
	2000-162453	06/16/00	JAPAN			ABS	

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

K. Maru et al., "Athermal arrayed-waveguide grating multi/demultiplexer", Transactions of Institute of Electronics and Data Communication Engineers of Japan, Optoelectronic System Laboratory, Hitachi Cable, Ltd., C-3-76, 2000, p. 256.

P.C. Clemens, et al., "Wavelength-Adaptable Optical Phased Array in SiO₂-Si" IEEE Photonics Technology Letters, Vol. 7, No. 10, October 1995, pp. 1040-1041.

CAMINER

DATE CONSIDERED

XAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.